

32692

Customer Number

Patent
Case No.: 59093US002**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: YANG, LIZHANG

Application No.: 10/687329

Group Art Unit: 2874

Filed: October 16, 2003

Examiner: Unknown

Title: OPTICAL INTERCONNECT DEVICE

INFORMATION DISCLOSURE STATEMENTCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

1-20-04

Date

Signed by: Tom Sanders

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

JAN 20, 2004

Date

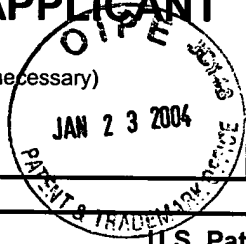
By:

Matthew B. McNutt, Reg. No.: 39,766

Telephone No.: (512) 984-4891

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-383301/29/2004 STEUNEL 00000009 133723 10687329
01 FC:1806 180.00 DA

Substitute for form 1449A/PTO (modified)		Application Number	10/687329
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 1 of 1		Filing Date	October 16, 2003
		First Named Inventor	Yang, Lizhang
		Art Unit	2874
		Examiner Name	Unknown
		Attorney Case Number	59093US002



U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 5,903,693	05-11-1999	Brown	
	A2	US- 5,966,489	10-12-1999	Harwell et al	
	A3	US- 5,970,195	10-19-1999	Brown	
	A4	US- 6,059,224	05-09-2000	Eoll	
	A5	US- 6,389,214 B1	05-14-2002	Smith et al	
	A6	US- 6,419,399 B1	07-16-2002	Loder et al	
	A7	US- 6,438,299 B1	08-20-2002	Brown et al	
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

Foreign Patent Documents						
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Ctry. Code	Number-KindCode (If known)			
	B1	EP	1 195 634 A2	04-10-2002		
	B2					
	B3					
	B4					
	B5					
	B6					
	B7					

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	
	C2	
	C3	

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	